

# Thermodynamics of Tropical Cyclogenesis<sup>1</sup>

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# Collaborators

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# Flux Form of Vorticity Equation (Haynes and McIntyre 1987)

Vertical component of absolute vorticity:

$$\frac{\partial \zeta_z}{\partial t} + \nabla_h \cdot \mathbf{Z} + \hat{\mathbf{k}} \cdot \nabla_h \theta \times \nabla_h \Pi = 0$$

Horizontal flux of vertical vorticity:

$$\mathbf{Z} = \mathbf{Z}_1 + \mathbf{Z}_2 + \mathbf{Z}_f = \mathbf{v}_h \zeta_z - \zeta_h \mathbf{v}_z + \hat{\mathbf{k}} \times \mathbf{F}$$

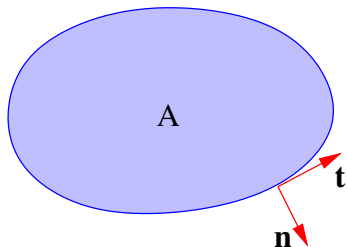
Vertical component of baroclinic generation (ignore):

$$\hat{\mathbf{k}} \cdot \nabla_h \theta \times \nabla_h \Pi \approx 0$$

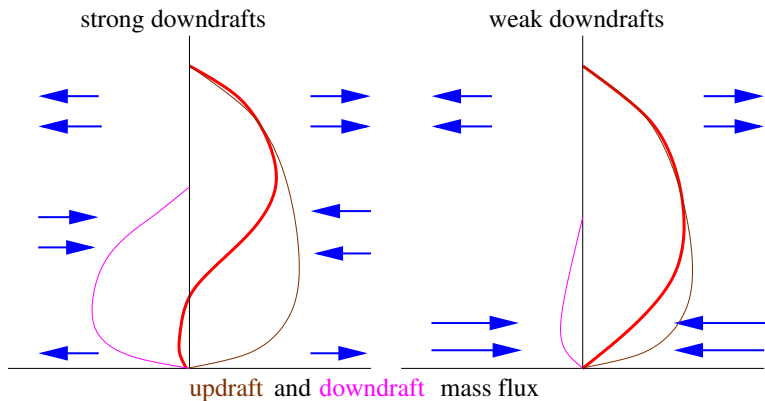
## Circulation Tendency Equation

$$\frac{d\Gamma}{dt} = - \oint v_n \zeta_z dl + \oint \zeta_n v_z dl + \oint F_t dl$$

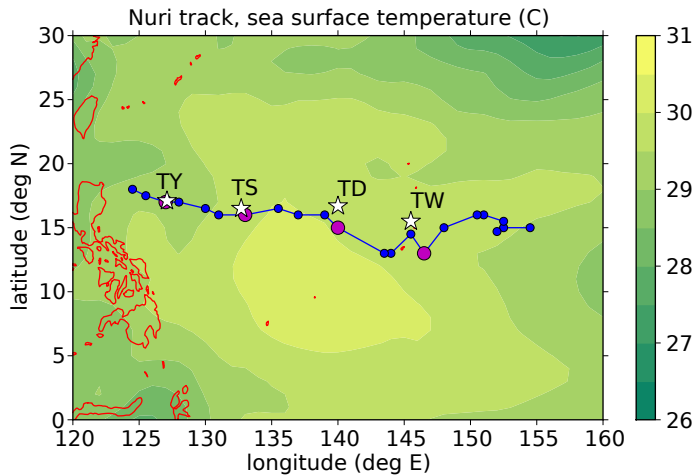
$$\Gamma = \int \zeta_z dA$$



# Top and bottom-heavy mass flux profiles

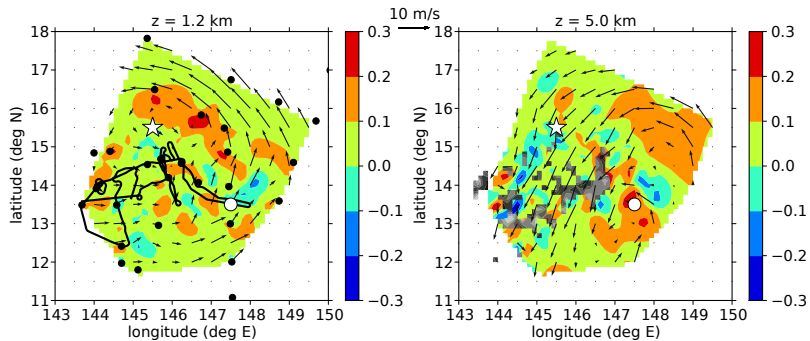


# Typhoon Nuri overview



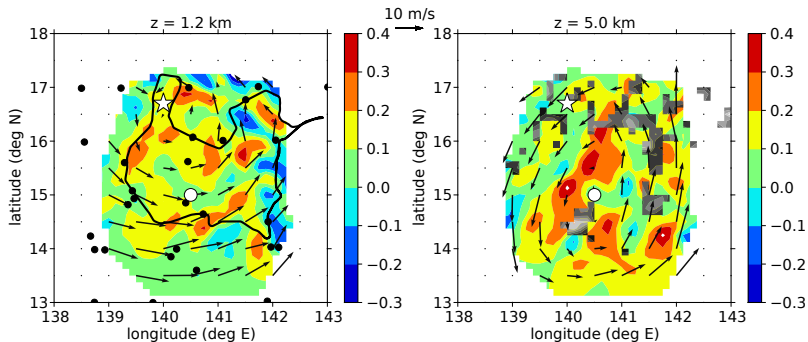
# Nuri 1 (tropical wave)

Nuri 1: relative winds, absolute vorticity ( $\text{ks}^{-1}$ )



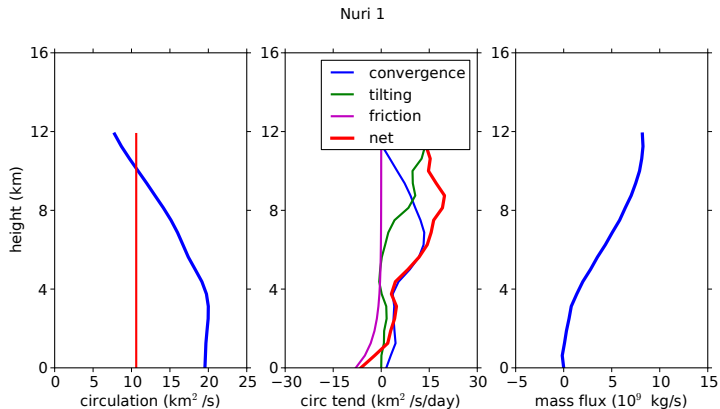
# Nuri 2 (tropical depression)

Nuri 2: relative winds, absolute vorticity ( $\text{ks}^{-1}$ )

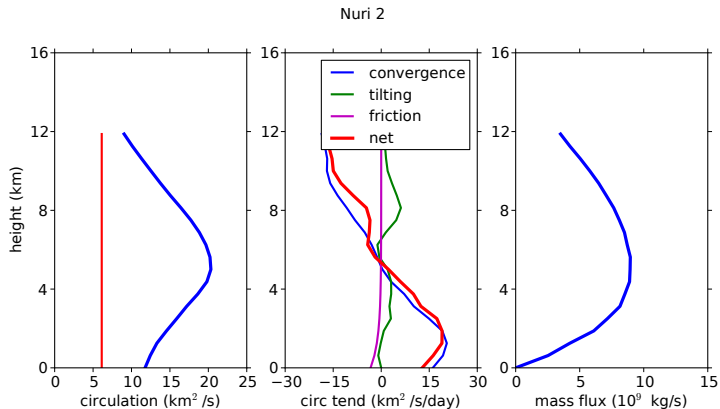




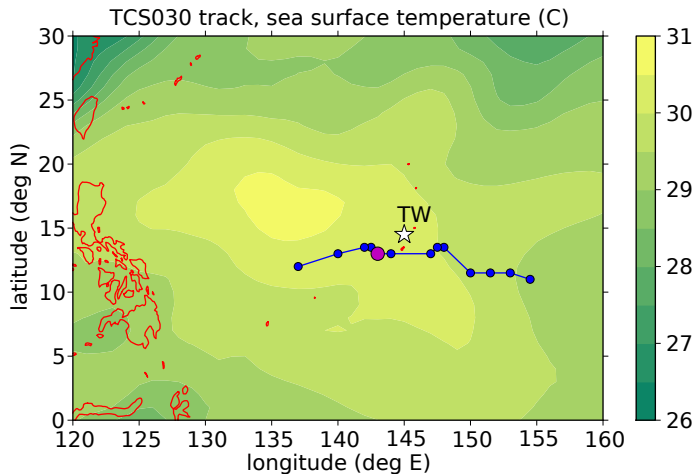
# Nuri 1 vorticity budget



# Nuri 2 vorticity budget

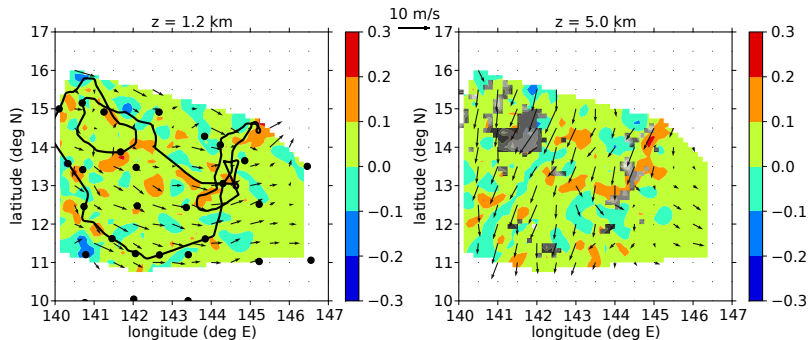


# Tropical wave TCS030 overview

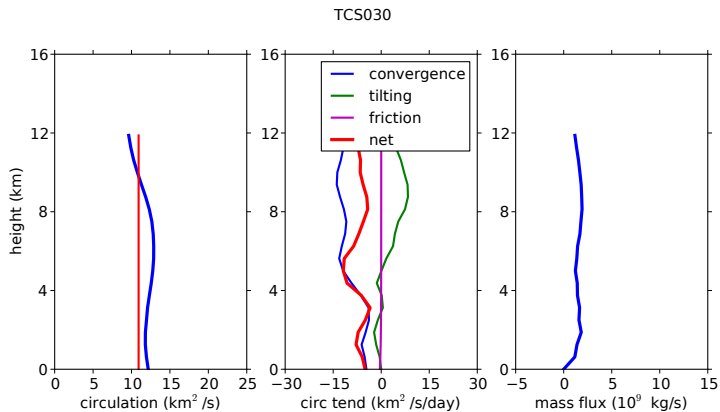


# TCS030 (Weak tropical wave)

TCS030: relative winds, absolute vorticity ( $\text{ks}^{-1}$ )



# TCS030 vorticity budget



# Summary 1

- ▶ Circulations spin up when the positive circulation tendency due to the convergence of vorticity exceeds the negative tendency due to friction.
- ▶ Top-heavy convective mass flux profiles generate mid-level spinup.
- ▶ Bottom-heavy mass flux profiles generate low-level spinup.

Question: What controls the magnitude and shape of mass flux profiles?

# Over the Pacific at dawn

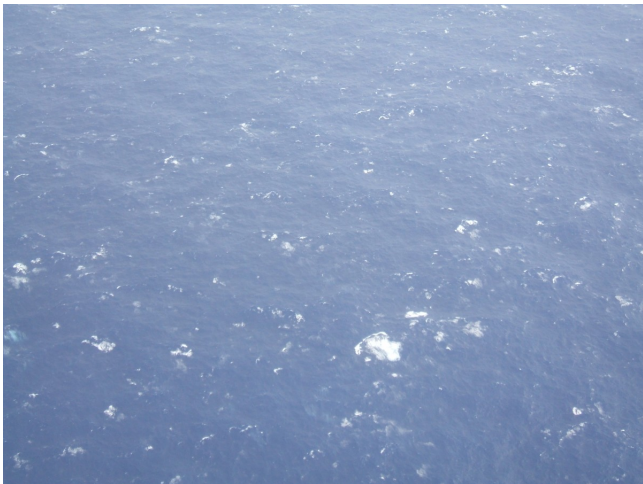


Nice clouds





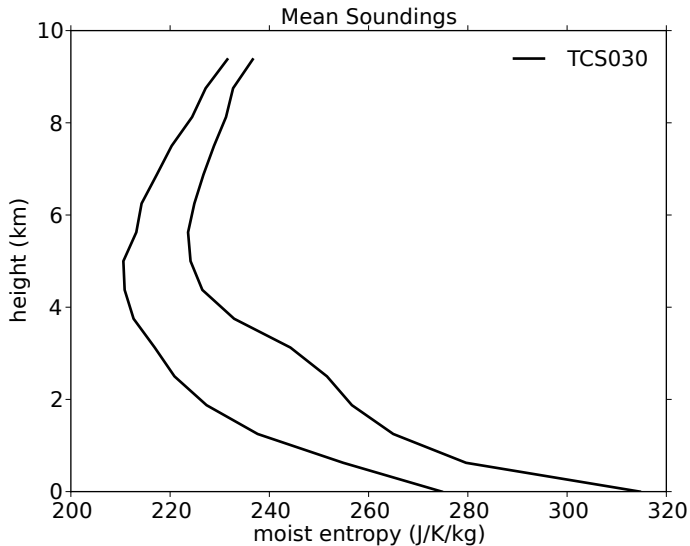
# Rough ocean



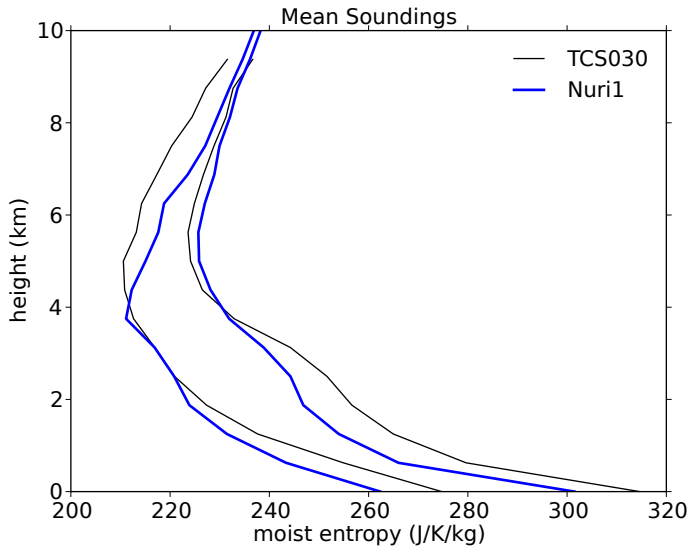
# Thermodynamic study of 5 Pacific systems

- ▶ Nuri (1 and 2) developing typhoon
- ▶ TCS025 (1 and 2) strong wave
- ▶ TCS030 weak wave
- ▶ TCS037 developing midget tropical cyclone
- ▶ Hagupit developing typhoon (very early stage)

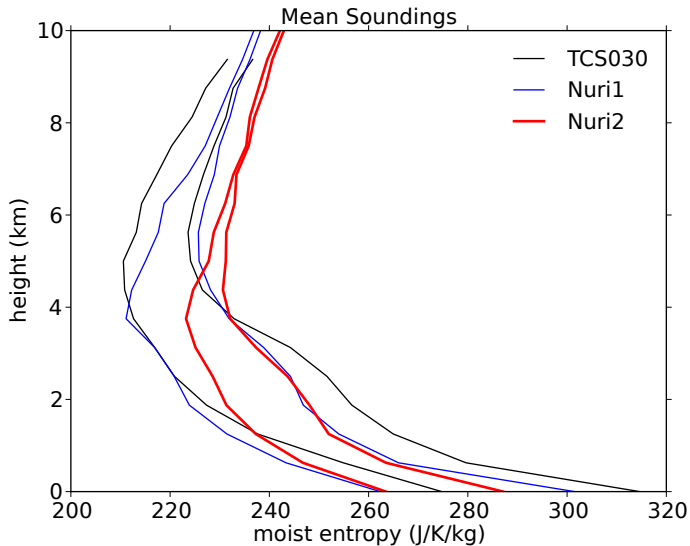
# Soundings in moist entropy form – TCS030



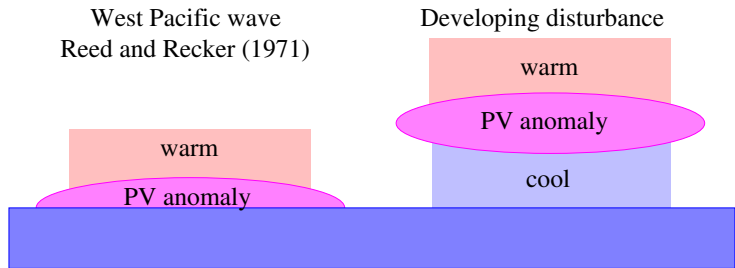
# Soundings in moist entropy form – Nuri1



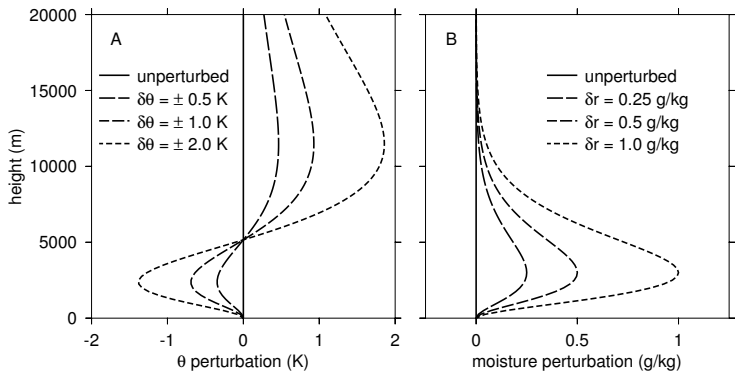
# Soundings in moist entropy form – Nuri2



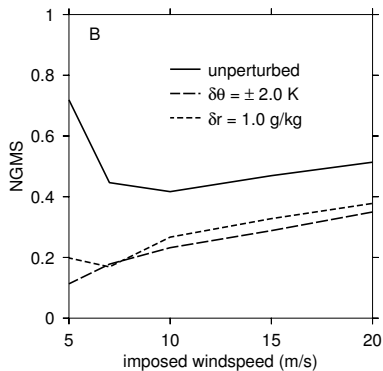
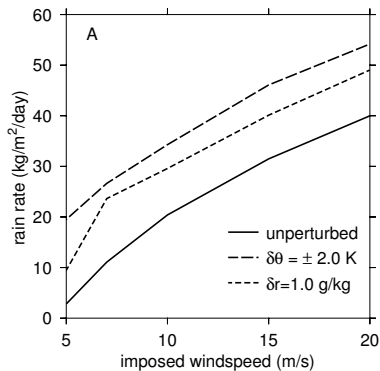
# Thermodynamic Effect of Vortices



# Rain and Mass Flux Profiles (Raymond and Sessions 2007)

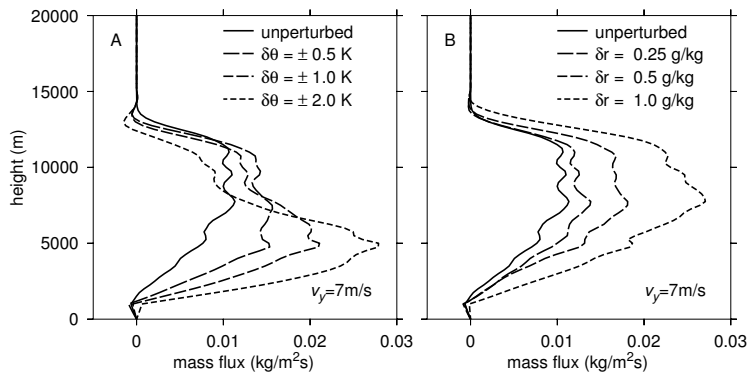


# Rain and Mass Flux Profiles (cont...)





# Rain and Mass Flux Profiles (cont...)



## Rain and vorticity convergence

Water budget in a control volume:

$$\frac{d[r]}{dt} = -[\nabla_h \cdot (\mathbf{v}_h r)] - g(\bar{R} - \bar{F}_{rs})$$

Mixing ratio:  $r$ ; Rainfall rate:  $\bar{R}$ ; Surface evaporation rate:  $\bar{F}_{rs}$ ;

Area average:  $\bar{X}$ ; Area average and pressure integral:  $[X]$ ;

Use to estimate rainfall rate (steady state):

$$\bar{R} \approx \bar{F}_{rs} - [\nabla_h \cdot (\mathbf{v}_h r)]$$

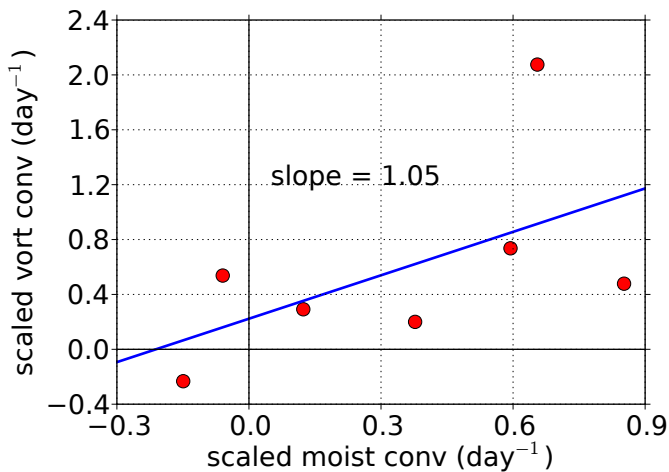
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Boundary layer vorticity convergence and rainfall rate:

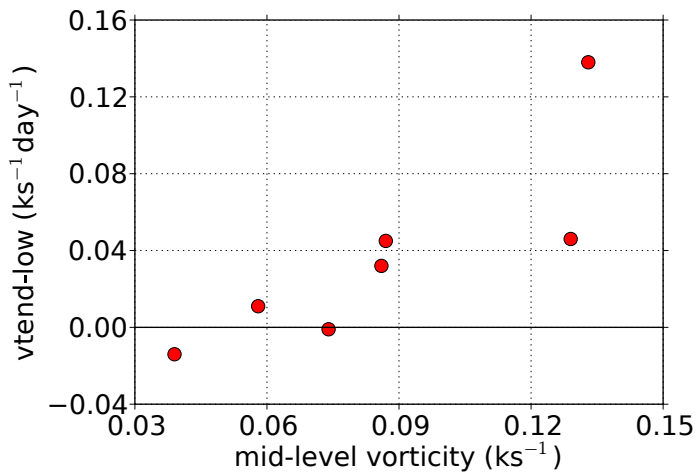
$$-\frac{\overline{\nabla_h \cdot (\mathbf{v}_h \zeta_z)_{bl}}}{\bar{\zeta}_z} = -C \frac{[\nabla_h \cdot (\mathbf{v}_h r)]}{W}$$

Precipitable water:  $W$

## Rain and vorticity convergence observed



## Mid-level vortex and low-level spinup



## Summary 2

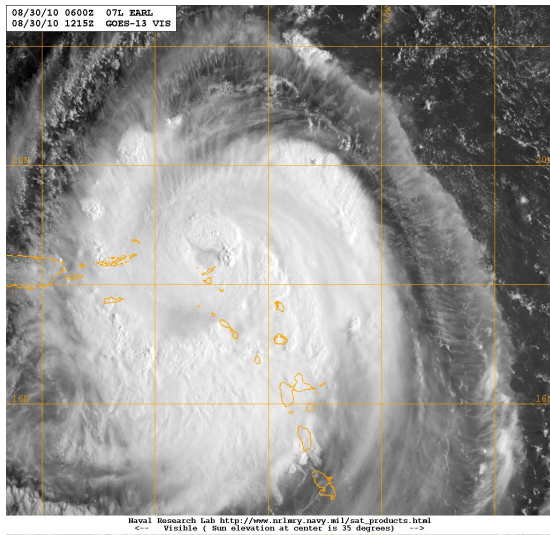
- ▶ A mid-level circulation provides a thermodynamic environment which promotes bottom-heavy convective mass flux profiles and more intense rain.
- ▶ Intense rain is correlated with strong vorticity convergence.
- ▶ These factors promote low-level spinup and consequent formation of a warm-core cyclone.

Question: What limits mid-level vortices?

# Clouds from G-V over Atlantic



# Visible image of Hurricane Earl (2010)

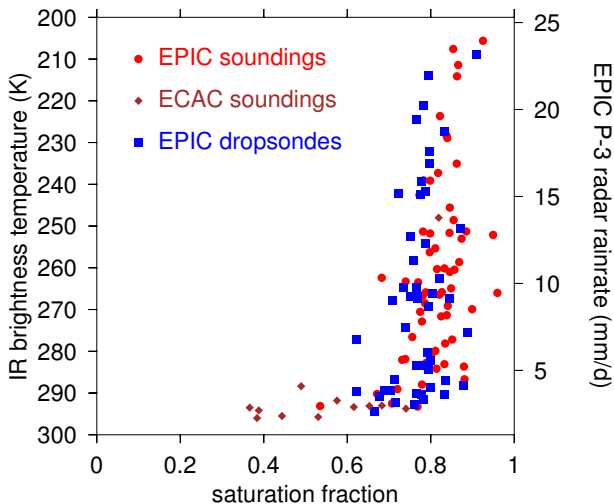


# View from the hotel





# Rain and Saturation Fraction (Raymond, Sessions, and Fuchs 2007)



## Saturation Fraction and Moist Entropy

Saturation fraction ( $S$ ):

$$S = \frac{[r]}{[r^*]} = \frac{[s - s_D]}{[s^* - s_D]}$$

Approximate moist entropy ( $s$ ) and saturated moist entropy ( $s^*$ ):

$$s = C_P \ln(T/T_F) - R \ln(p/p_R) + Lr/T_F = s_D + Lr/T_F$$

$$s^* = s_D + Lr^*(s_D, p)/T_F$$

Moist entropy budget in a control volume:

$$\frac{d[s]}{dt} = -[\nabla_h \cdot (\mathbf{v}_h s)] + [G] + g(\bar{F}_{es} - \bar{F}_{et})$$

Area average:  $\bar{X}$ ; Area average and pressure integral:  $[X]$ ;

Irreversible generation:  $[G]$ ; Upward fluxes:  $\bar{F}_{es} - \bar{F}_{et}$

## Environmental injection of dry air

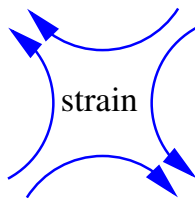
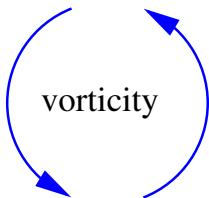
Normalized Okubo-Weiss parameter (measure of rotation vs. horizontal strain; Dunkerton et al. 2009):

$$\mathcal{N} = \frac{\zeta_r^2 - \sigma_1^2 - \sigma_2^2}{\zeta_r^2 + \sigma_1^2 + \sigma_2^2}$$

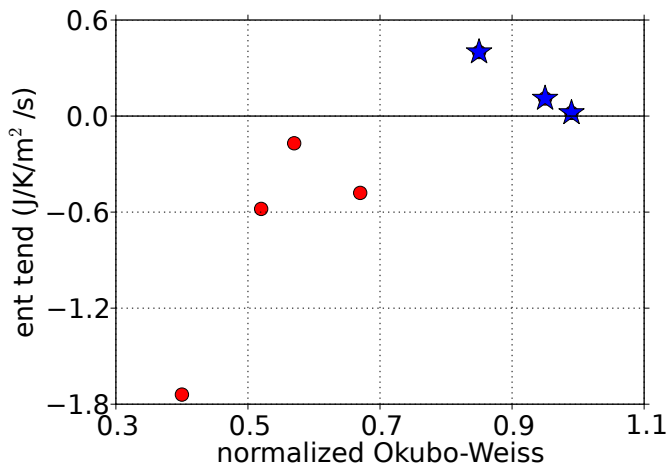
where

$$\zeta_r = \overline{\frac{\partial v}{\partial x} - \frac{\partial u}{\partial y}} \quad \sigma_1 = \overline{\frac{\partial v}{\partial x} + \frac{\partial u}{\partial y}} \quad \sigma_2 = \overline{\frac{\partial u}{\partial x} - \frac{\partial v}{\partial y}}$$

Averaged over middle levels (3-5 km).



## Entropy tendency and Okubo-Weiss



## Summary 3

- ▶ The entropy tendency should be a measure of future intensification, since this tendency is related to the saturation fraction tendency, and hence the prospects for future rainfall.
- ▶ A small value of the normalized Okubo-Weiss parameter is likely to be correlated with the import of dry air via strain flow, and hence a negative entropy tendency.
- ▶ Entropy tendency and normalized Okubo-Weiss are well correlated and the three systems undergoing intensification had positive entropy tendency and  $\mathcal{N} > 0.8$ .

# The NCAR Gulfstream-V aircraft in St. Croix

